



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **CHUNG**

Application No.: 09/737,306

Attorney Docket No.: AI-TECH-30

Filed: December 15, 2000

For: ELECTRONIC VOTING  
APPARATUS, SYSTEM AND  
METHOD

Examiner:

Group Art Unit: 2163

TO 2800 MAIL ROOM

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GROUP 3600

**CERTIFICATE OF MAILING UNDER 37 C.F.R § 1.8(a)**

I hereby certify that this Correspondence is being deposited on the date identified below with the United States Postal Service as first-class mail in an envelope properly addressed to COMMISSIONER OF PATENTS AND TRADEMARKS, Washington, D.C. 20231.

October 31, 2002  
Date of Certificate

*Jacqueline D. Bailey*  
Jacqueline D. Bailey

Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97**

In compliance with the duty of disclosure set forth in 37 C.F.R. § 1.56, Applicants are submitting herewith a Form PTO-1449 and a copy of the references listed thereon. This Supplemental Information Disclosure Statement is being filed more than three months after the filing date of the application. However, Applicants have not yet received an action on the merits of the application. Accordingly,

Applicants believe that no fee is required pursuant to 37 C.F.R. §1.97 (b) (3). In the event a fee is required, the Commissioner is authorized to charge the required fees to deposit account no. 04-1406. A duplicate copy of this sheet is included for fee processing, if necessary.

Applicants respectfully request full and proper consideration of the listed information during examination of the application, and that the listed information be printed on any patent that issues therefrom.

Respectfully submitted,

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Enclosures - Form PTO-1449

Copies of references listed on PTO - 1449

**INFORMATION  
DISCLOSURE  
STATEMENT**



#18

*Complete if known*

Application Number: 09/737,306

Filing Date: December 15, 2000

First Named Inventor: CHUNG

Group Art Unit: 2163

Examiner Name:

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NOV 08 2002

SHEET 1 OF 1

Attorney Docket Number: AI-TECH-30

**GROUP 3600**

**UNITED STATES PATENT DOCUMENTS**

EXAMINER'S INITIALS	CITE NO.	PATENT NUMBER	ISSUE DATE MM-DD-YYYY	FIRST NAMED INVENTOR
		5,189,288	02/23/1993	Anno et al
		5,218,528	06/08/1993	Wise et al
		5,583,329	12/10/1996	Davis et al
		5,610,383	03/11/1997	Chumbley
		5,612,871	03/18/1997	Skogmo
		5,875,432	02/23/1999	Sehr
		5,878,399	03/02/1999	Peralta
		US 2002/0072961 A1	06/13/2002	McDermott et al

**FOREIGN PATENT DOCUMENTS**

EXAMINER'S INITIALS	CITE NO.	DOCUMENT NUMBER	COUNTRY OR REGION	DATE OF PUBLICATION MM-DD-YYYY	FIRST NAMED INVENTOR OR APPLICANT

**OTHER PRIOR ART - NON-PATENT DOCUMENTS**

EXAMINER'S INITIALS	CITE NO.	Include name of the author (in Capital Letters), title of the article (when appropriate), title of the item(book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
		BRUCE SCHNEIER, "Crypto-Gram", <a href="http://www.notablesoftware.com/Press/Schneier.html">http://www.notablesoftware.com/Press/Schneier.html</a> , December 15, 2000, 3 Pages.
		MICHAEL STANTON, "The Importance Of Recounting Votes", <a href="http://www.notablesoftware.com/Press/electronic_voting_in_brasil.htm">http://www.notablesoftware.com/Press/electronic_voting_in_brasil.htm</a> , Rio De Janeiro, Brazil, November 13, 2000, 3 Pages.
		PETER G. NEUMANN, "Internet and Electronic Voting", <u>The Risks Digest</u> , Volume 21, Issue 14, <a href="http://www.notablesoftware.com/Papers/Risks2114.html">http://www.notablesoftware.com/Papers/Risks2114.html</a> , December 12, 2000, 3 Pages.
		PETER G. NEUMANN, "Security Criteria For Electronic Voting", <a href="http://www.csl.sri.com/users/neumann/nes93.html">http://www.csl.sri.com/users/neumann/nes93.html</a> , Menlo Park, CA, September 20-23, 1993, 3 Pages.

EXAMINER'S SIGNATURE		DATE CONSIDERED
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**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP §609. Draw a line through citation if citation not in conformance and reference not considered. Include a copy of this form with next communication to applicant.